

UKRI-FUNDED LONG FORM OUTPUTS OPEN ACCESS COMPLIANCE CHECKLIST

This guide has been prepared to help you as a UKRI funded researcher at the University of Exeter to be aware of what you need to do to ensure your UKRI funded long form outputs comply with new UKRI open access requirements.

IS YOUR OUTPUT IN SCOPE OF POLICY?

Monographs, book chapters, edited collections, when they are required to acknowledge funding from UKRI, and published on or after 1st January 2024 (exemption available for when a contract was signed before 1st Jan 2024).

WHICH ROUTE TO COMPLIANCE WILL YOU TAKE?

Publish OA on publisher website – Version of Record (VoR) – immediate OA with a Creative Commons (CC) licence.
Deposit in a repository – Author Accepted Manuscript (AAM) or VoR – OA with a CC licence max 12 month embargo.

AT SUBMISSION OF BOOK PROPOSAL

Notify the publisher *at the earliest opportunity* about UKRI OA requirements that apply to your long form output.
Contact Open Research team for help reviewing compliance of publisher OA options.

BEFORE SIGNING PUBLISHING CONTRACT

Inform publisher and any collaborators about UKRI OA requirements that apply to your output.
Contact Open Research team to confirm which route to compliance you will take (applies to all outputs).
Contact Open Research if you need to apply to the UKRI OA monograph fund.
Contact Open Research if you think you might need to use a policy exemption.

APPLYING TO THE UKRI OA MONOGRAPH FUND

UKRI will fund maximum £8,333 + VAT for a book, £833 + VAT for a chapter
If publisher cost to publish OA exceeds UKRI maximum ask them to reduce the cost.
Open Research will apply to UKRI OA monograph fund (for cost not more than UKRI maximum) on your behalf.
Do not enter any contractual agreement, commit to OA, or commit to pay until we have UKRI approval of costs.

AFTER PUBLICATION

Notify Open Research of publication, we will check it's compliant and re-claim costs UKRI already agreed to pay.
Upload via Symplectic to ORE (AAM or VoR) – notify Open Research about licensing and embargo, if required.