****This form is to be completed by staff on Research contracts only. For further information on the REF2021 definition of Independent Researcher and the criteria for determining Independent Research status please see the University of Exeter REF2021 Code of Practice

**Independent Researcher form**

The REF2021 criteria requires an individual meet one or more of the following indicators:

* Indicator 1: Leading or acting as principal investigator or equivalent on an externally funded research project
* Indicator 2: Holding an independently won, competitively awarded fellowship where research independence is a requirement.
* Indicator 3: Leading a research group or a substantial or specialised work package.

In addition, for UoAs in Mains Panels C and D the following criteria will also apply:

* Indicator 4: Being named as a Co-I on an externally funded research grant/award
* Indicator 5: Having significant input into the design, conduct and interpretation of the research.

The purpose of collecting this information is to evaluate eligibility for the University of Exeter’s submission to REF2021.

**If you have previously received confirmation that you meet the REF2021 definition of Independent Researcher, you do not need to complete this form.**

A preliminary review of staff on Research contracts was completed by each Unit of Assessment in June/July 2019. This review was completed with information on Indicator 1 and 4 (research awards held at Exeter), Indicator 2 (fellowships) and, where easily accessible, information relating to Indicator 3 and 5. Staff are being asked to complete this form if:

* Additional information is required to decide if you meet the REF2021 definition of Independent Researcher
* You meet the REF2021 definition of Independent Researcher but at the preliminary review stage, the University did not hold relevant information relating to any of the indicators above, e.g. because you have recently received an award for which you are a PI, because you arrived recently but held external research grants as PI (or Co-I for Panels C and D) in another institution
* You meet the REF2021 definition of Independent Researcher but you are a new member of staff who arrived after the preliminary review was completed.

PRIVACY NOTICE

All data collected, stored and processed will be handled in accordance with the Data Protection Act 1998 and the EU General Data Protection Regulation (GDPR). Personal information will be held in a restricted and dedicated area of the University’s REF focussed Share Point sites. These sites are owned by the Exeter REF team, and access control is in place. Information will be accessible for the REF team and Colleges (ADR, HoD, and DoR). Information will be shared through Share Point with the Eligibility Review Group, HR partners and the University Research Monitoring Panel appropriately for REF purposes.

Yes, I accept that the information I give is used for REF purposes only.

## **Personal information**

|  |  |
| --- | --- |
| **Title** |  |
| **First Name** |  |
| **Surname** |  |
| Staff ID |  |

## **Role**

|  |  |
| --- | --- |
| **Job Title** |  |
| **College** |  |
| **Department** |  |
| Unit of Assessment (if known) | Choose an item. |
| Additional Unit of Assessment (if relevant) | Choose an item. |

## **Indicator 1 and Indicator 4**

|  |  |
| --- | --- |
| **Are you (or have you been since 1st January 2014) a Principal Investigator on an externally funded research grant?** | Yes |
| No |
|  |  |
| *For Panel C and Panel D UoAs only* **Are you or have you been since 1st January 2014) a Co-Investigator on an externally funded research grant?** | Yes |
| No |

|  |  |
| --- | --- |
| **If your Research contract began at Exeter on or before 30th April 2019, please provide details of any new award(s) or awards held at another HEI prior to arriving at Exeter.** *Please include the funder’s name, the scheme name, the value, duration and start date of the award.*  *Awards made solely to provide funding for travel, consumables, or for knowledge exchange or impact-related activities are not eligible.* | |
|  | |
| *For Panel A and B UoAs* **– please confirm that you are the Principal Investigator for this award:** | Click here to enter text. |
| *For Panel C and D UoAs* **– please confirm that you are the Co-Investigator for this award:** | Click here to enter text. |

|  |  |
| --- | --- |
| **If you joined Exeter on or after 1st May 2019, please provide details of award(s) now held at Exeter or awards held at a previous university.** *Please include the funder’s name, the scheme name, the value, duration and start date of the award.*  *Awards made solely to provide funding for travel, consumables, or for knowledge exchange or impact-related activities are not eligible.* | |
|  | |
| *For Panel A and B UoAs* **– please confirm that you are the Principal Investigator for this award:** | Click here to enter text. |
| *For Panel C and D UoAs* **– please confirm that you are the Co-Investigator for this award:** | Click here to enter text. |

## **Indicator 2**

|  |  |
| --- | --- |
| **Do you hold (or have you held) a competitive Research Fellowship?** | Yes  No |
| **If yes, please select from the drop-down list**  (*list provided by Research England*) | Funder Choose an item  Fellowship Choose an item. |
| **Please provide brief details of the competitive Research Fellowship in the box below**, i.e. where the award was held (if held at a previous university), the value, duration and start date of the fellowship. | |
| Click here to enter text. | |

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|  |  |
| --- | --- |
| **Do you hold (or have you held) a competitive Research Fellowship of similar standing which is not listed in the drop-down list above?** | Yes  No |

|  |  |  |  |
| --- | --- | --- | --- |
| **Name of Funder** | Click here to enter text. | **Start Date** | Click here to enter a date. |
| **Name of Fellowship** | Click here to enter text. | **End Date** | Click here to enter a date. |

|  |
| --- |
| **Please provide a justification why this funder/fellowship scheme is of a similar standing to those found in the drop-down list above.** |
| Click here to enter text. |

## **Indicator 3**

|  |
| --- |
| **Please provide a brief justification as to how you meet (or have met) the requirements of Indicator 3:** *Leading a research group or a substantial or specialised work package*. |
| Click here to enter text. |

## **Indicator 5 *– for Panels C and D only***

|  |
| --- |
| **Please provide a brief justification as to how you meet (or have met) the requirements of Indicator 5:***Having significant input into the design, conduct and interpretation of the research.*  **NB.** Indicator 5, in isolation, will not normally fulfil the criteria of “Independent Researcher” and will, typically, only be considered in conjunction with another indicator. |
| Click here to enter text. |

|  |  |
| --- | --- |
| **Funder** | **Fellowship scheme** |
| AHRC | AHRC Leadership Fellowships - Early Career Researchers |
| AHRC | AHRC Leadership Fellowships |
| BBSRC | BBSRC David Phillips Fellowships |
| BBSRC | BBSRC Future Leader Fellowships (from 2018 known as BBSRC Discovery Fellowships) |
| British Academy | BA/Leverhulme Senior Research Fellowships |
| British Academy | British Academy Postdoctoral Fellowships |
| British Academy | JSPS Postdoctoral Fellowships |
| British Academy | Mid-Career Fellowships |
| British Academy | Newton Advanced Fellowships |
| British Academy | Newton International Fellowships |
| British Academy | Wolfson Research Professorships |
| British Heart Foundation | Career Re-entry Research Fellowships |
| British Heart Foundation | Clinical Research Leave Fellowships |
| British Heart Foundation | BHF-Fulbright Commission Scholar Awards |
| British Heart Foundation | Intermediate Basic Science Research Fellowships |
| British Heart Foundation | Intermediate Clinical Research Fellowships |
| British Heart Foundation | Senior Basic Science Research Fellowships |
| British Heart Foundation | Senior Clinical Research Fellowships |
| British Heart Foundation | Springboard Award for Biomedical Researchers |
| British Heart Foundation | Starter Grants for Clinical Lecturers |
| Cancer Research UK | Advanced Clinician Scientist Fellowship |
| Cancer Research UK | Career Development Fellowship |
| Cancer Research UK | Career Establishment Award |
| Cancer Research UK | Senior Cancer Research Fellowship |
| EPSRC | EPSRC Early Career Fellowship |
| EPSRC | EPSRC Established Career Fellowship |
| EPSRC | EPSRC Postdoctoral Fellowship\*1 |
| ESRC | ESRC Future Cities Catapult Fellowship |
| ESRC | ESRC Future Leaders Grant |
| ESRC | ESRC/Turing Fellowships |
| ESRC/URKI | Early Career Researcher Innovation Fellowships |
| European Research Council | ERC Advanced Grants |
| European Research Council | ERC Consolidator Grants |
| European Research Council | ERC Starting Grants |
| Health Education England | Integrated Clinical Academic Programme Clinical Lectureship\* |
| Health Education England | Integrated Clinical Academic Programme Senior Clinical Lectureship |
| Leverhulme Trust | Early Career Fellowship |
| Leverhulme Trust | Research Fellowship |
| Leverhulme Trust | Emeritus Fellowship |
| Leverhulme Trust | Major Research Fellowship |
| Leverhulme Trust | International Academic Fellowship |
| MRC | MRC Career Development Awards\* |
| MRC | MRC New Investigator Research Grants (Non-clinical)\* |
| MRC | MRC New Investigator Research Grants (Clinical)\* |
| MRC | MRC Clinician Scientist Fellowships\* |
| MRC | Senior Non-Clinical Fellowships |
| MRC | Senior Clinical Fellowships |
| NC3R | David Sainsbury Fellowship |
| NC3R | Training fellowship |
| NERC | Independent Research Fellowships |
| NERC/UKRI | Industrial Innovation Fellowships |
| NERC/UKRI | Industrial Mobility Fellowships |
| NIHR | Advanced Fellowship\* |
| NIHR | Career Development Fellowship |
| NIHR | Clinical Lectureships\* |
| NIHR | Clinician Scientist\* |
| NIHR | Post-Doctoral Fellowship\* |
| NIHR | Research Professorships |
| NIHR | School for Primary Care Post-Doctoral Fellowships\* |
| NIHR | Senior Research Fellowships |
| Royal Academy of Engineering | RAEng Engineering for Development Research Fellowship |
| Royal Academy of Engineering | Industrial Fellowships |
| Royal Academy of Engineering | RAEng Research Fellowship |
| Royal Academy of Engineering | RAEng Senior Research Fellowship |
| Royal Academy of Engineering | UK Intelligence Community (IC) Postdoctoral Research Fellowship |
| Royal Society | Royal Society Wolfson Fellowship |
| Royal Society | Dorothy Hodgkin Fellowship\* |
| Royal Society | JSPS Postdoctoral Fellowship |
| Royal Society | Newton Advanced Fellowship |
| Royal Society | Royal Society/Leverhulme Trust Senior Research Fellowship |
| Royal Society | University Research Fellowship\* |
| Royal Society and Wellcome Trust | Sir Henry Dale Fellowship\* |
| Royal Society of Edinburgh | RSE Arts & Humanities Awards (for permanent staff) |
| Royal Society of Edinburgh | RSE Personal Research Fellowship |
| Royal Society of Edinburgh | RSE Sabbatical Research Grants (for permanent staff) |
| Sȇr Cymru | Research Chairs |
| Sȇr Cymru | Rising Stars |
| Sȇr Cymru | Recapturing Talent\* |
| Sȇr Cymru | Research fellowships for 3 -5 year postdocs |
| STFC | CERN Fellowships |
| STFC | Ernest Rutherford Fellowship |
| STFC | ESA Fellowships |
| STFC | Innovations Partnership Scheme Fellowships |
| STFC | Returner Fellowships |
| STFC | RSE/STFC Enterprise Fellowships |
| STFC | Rutherford International Fellowship Programme |
| UKRI | UKRI Future Leaders Fellowships |
| UKRI | UKRI Innovation Fellowships |
| Wellcome Trust | Intermediate Fellowship in Public Health and Tropical Medicine |
| Wellcome Trust | Principal Research Fellowships |
| Wellcome Trust | Research Award for Health Professionals |
| Wellcome Trust | Research Career Development Fellowship |
| Wellcome Trust | Research Fellowship in Humanities and Social Science |
| Wellcome Trust | Senior Research Fellowship |