



# Trial Forge, PRECIS-2 and how to design an efficient trial

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**Health Services Research Unit  
University of Aberdeen**



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MRC

Hubs for Trials  
Methodology Research



**NHS**  
National Institute for  
Health Research

# Trials Change Lives

“Clinical trials are the backbone of primary research that informs clinical practice in the NHS in the UK”

*Prof Hywel Williams, Director,  
Health Technology Assessment  
Programme (NIHR)*



Listen to the  
podcast

## Clinical Trials for the NHS

# Let's do what we did last time..

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**'There is a peculiar paradox that exists in trial execution - we perform clinical trials to generate evidence to improve patient outcomes; however, we conduct clinical trials like anecdotal medicine:**

- **we do what we think works**
- **we rely on experience and judgement and..**
- **limited data to support best practices.'**

# A systematic approach to making trials more efficient

The evidence base for how to make the trials process efficient is remarkably thin. Trial Forge aims to change this.

[EXPLORE PATHWAY](#)

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## Trials

Randomised controlled trials are the gold standard for evaluating healthcare



## Essential

Randomised trials are the cornerstone of evidence-based healthcare because they



## Inefficient

The evidence base for how to make the trials process efficient is remarkably thin.

# What is an efficient trial?

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- **Scientific efficiency: right question, right design**
- **Process efficiency: do what is needed to answer question, keeps focused, results made public in a way people can understand**

# What are we trying to do with our trial?

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- **Who am I designing my trial for?**
- **What do they need?**

# What are we trying to do with our trial?

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- **Who am I designing my trial for?**
- **What do they need?**



← **This is what they need**

# Now let's think about design..

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**Work**



# Now let's think about design..

---



**Work**



# Now let's think about design..

---



**Work**



# Now let's think about design..

---



**Work**



**What you have produced is irrelevant**

# Choosing the right research question



BMJ 2014;349:g5219 doi: 10.1136/bmj.g5219

Page 1 of 13

## RESEARCH

### Ability of a meta-analysis to prevent redundant research: systematic review of studies on pain from propofol injection

OPEN ACCESS

Céline Habre *research fellow*<sup>1</sup>, Martin R Tramèr *professor in anaesthesia*<sup>2,3</sup>, Daniel M Pöpping *anaesthetist*<sup>4</sup>, Nadia Elia *public health epidemiologist*<sup>2,5</sup>

<sup>1</sup>Department of Radiology, Geneva University Hospitals, 4 rue Gabrielle-Perret-Gentil, CH-1211 Geneva 14, Switzerland; <sup>2</sup>Division of Anaesthesiology, Geneva University Hospitals, Geneva, Switzerland; <sup>3</sup>Faculty of Medicine, University of Geneva, Geneva, Switzerland; <sup>4</sup>Department of Anaesthesiology and Intensive Care, University Hospital Münster, Münster, Germany; <sup>5</sup>Institute of Global Health, Faculty of Medicine, University of Geneva, Geneva, Switzerland

**Abstract**  
**Objective** To examine whether, according to the conclusions of a 2000 systematic review with meta-analysis on interventions to prevent pain of the new trials were considered clinically relevant since they used the most efficacious intervention as comparator or included a paediatric population.

# Choosing the right research question



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## RESEARCH

Number of clinically irrelevant trials:

87 of 136 (64%)

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# Process: what helps recruitment?

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## **Strategies to improve recruitment to randomised controlled trials (Review)**

**Treweek S, Mitchell E, Pitkethly M, Cook J, Kjeldstrøm M, Johansen M, Taskila TK, Sullivan F, Wilson S, Jackson C, Jones R, Lockhart P**



**THE COCHRANE  
COLLABORATION®**

# Process: what helps recruitment?

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# Process: what helps recruitment?

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**We're almost done with the update of this review. We now have 66 included studies and we've sharpened up how we present the results.**

**GRADE**

**High**

**GRADE**

**Moderate**

# Process: what helps recruitment?

---

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**GRADE**

**High**

**2 things**

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**Moderate**

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**GRADE**

**High**

**2 things**

**GRADE**

**Moderate**

**8 things**

# Process: what helps recruitment?

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**[Both GRADE High; caveat: statistician hasn't signed off these numbers..]**

**1. An open design compared to a blinded, placebo-controlled design increases recruitment: RD = 10% (95% CI 7% to 13%)**

**[two studies: both 2004]**

**2. Using a telephone reminder to contact non-responders to a postal invitation increases recruitment. RD = 6% (95% CI 3% to 9%)**

**[two studies: 2004 & 2013]**

# The cost of poor recruitment

Institutional Issues

## The Prevalence and Economic Impact of Low-Enrolling Clinical Studies at an Academic Medical Center

Darlene R. Kitterman, MBA, Steven K. Cheng, PhD, David M. Dilts, PhD, MBA, and Eric S. Orwoll, MD

### Abstract

#### Purpose

The authors assessed the prevalence and associated economic impact of low-enrolling clinical studies at a single academic medical center.

#### Method

The authors examined all clinical studies receiving institutional review board (IRB) review between FY2006 and FY2009 at Oregon Health & Science University (OHSU) for recruitment performance and analyzed them by type of IRB review (full-board, exempt, expedited), funding mechanism, and academic unit. A low-enrolling study included those with zero or one participant at the time of study termination. The authors calculated the

costs associated with IRB review, financial setup, contract negotiation, and department study start-up activities and the total economic impact on OHSU of low-enrolling studies for FY2009.

#### Results

A total of 837 clinical studies were terminated during the study period, 260 (31.1%) of which were low-enrolling. A greater proportion of low-enrolling studies were government funded than industry funded ( $P = .006$ ). The authors found significant differences among the various academic units with respect to percentages of low-enrolling studies (from 10% to 67%). The uncompensated economic impact of

low-enrolling studies was conservatively estimated to be nearly \$1 million for FY2009.

#### Conclusions

A substantial proportion of clinical studies incurred high institutional and departmental expense but resulted in little scientific benefit. Although a certain percentage of low-enrolling studies can be expected in any research organization, the overall number of such studies must be managed to reduce the aggregate costs of conducting research and to maximize research opportunities. Effective, proactive interventions are needed to address the prevalence and impact of low enrollment.

*Editor's Note: A commentary on this article appears on page 1334.*

**A**cademic medical centers (AMCs), or institutions with the core mission of conducting clinical research, play a vital

role in the health care system.<sup>1</sup> One critical role of an AMC is to conduct research that advances basic scientific observations to applications in medical practice. The National Institutes of Health (NIH) has made translational research a priority, in part through the creation of the National Center for Advancing Translational Science in 2006. The

NIH has also supported research that recruited less than 75% of their planned enrollment goals.<sup>4</sup> A sampling of 13 studies sponsored by the National Heart, Lung, and Blood Institute found that planned enrollment was completed for only two of the studies (15.4%),<sup>5</sup> and

**Academic Medicine, Vol. 86,  
No. 11 / November 2011**

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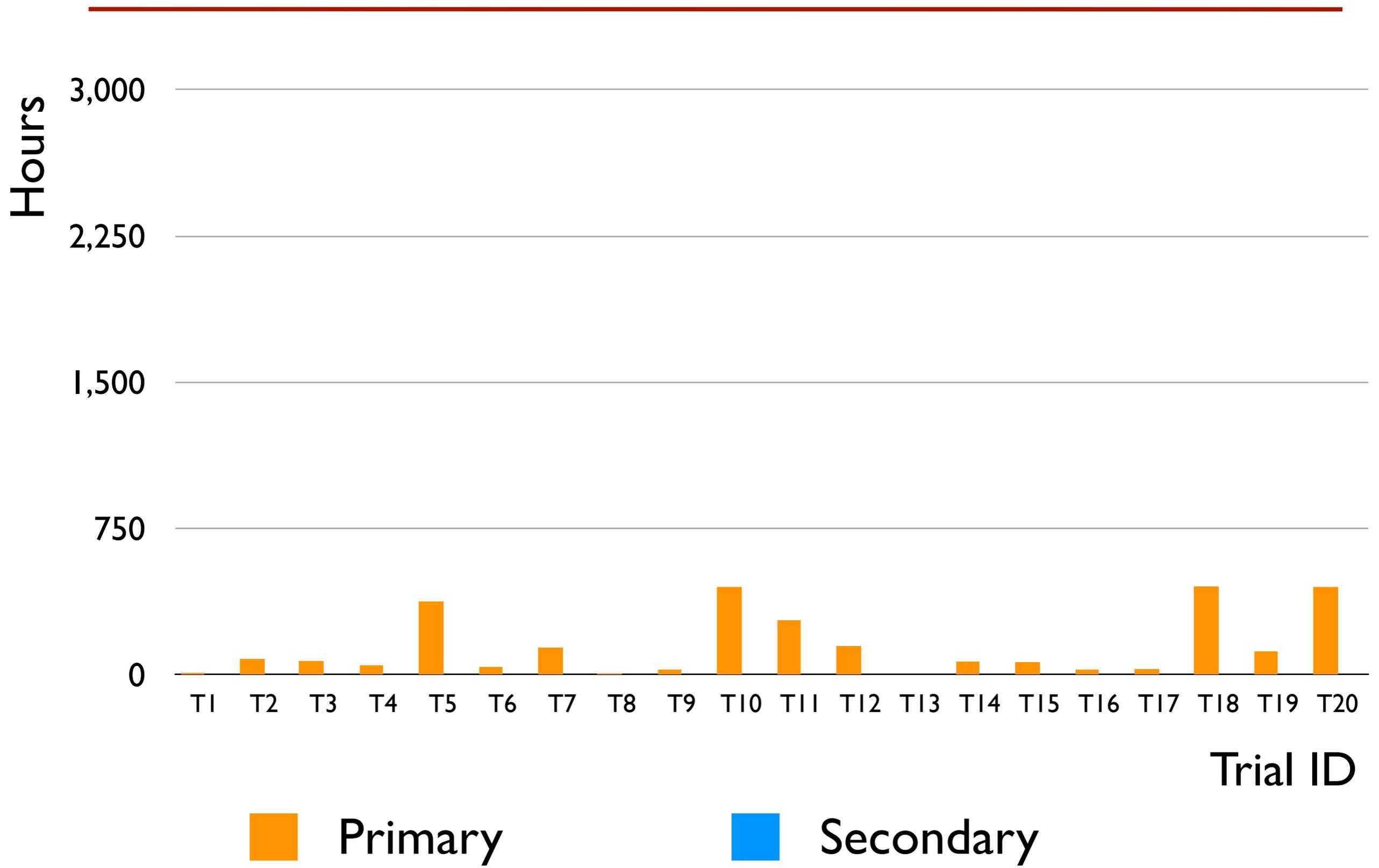
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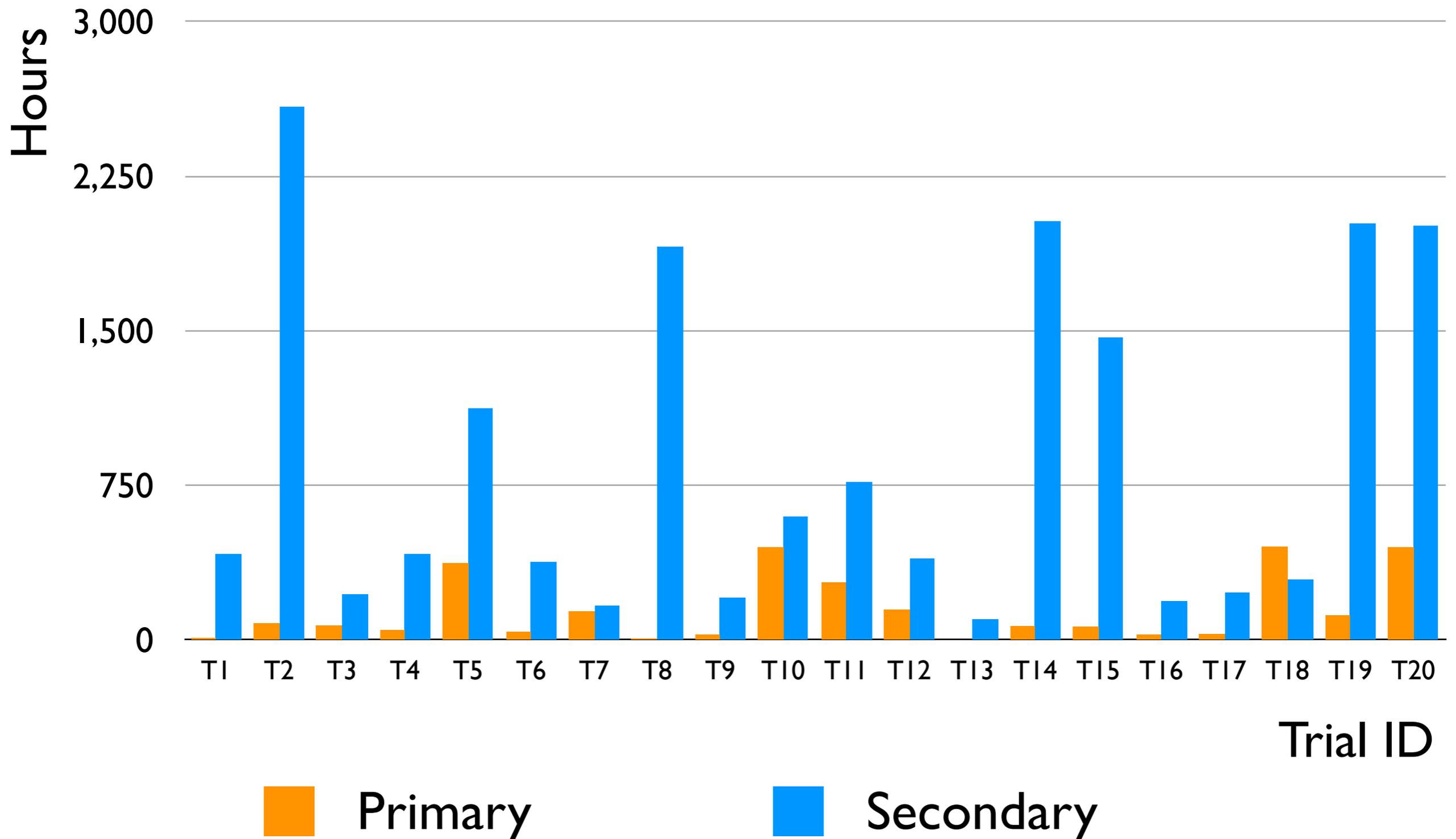
**Cost of 260 studies with zero or 1 participant at trial termination in 2011: almost \$1 million**

**Academic Medicine, Vol. 86, No. 11 / November 2011**

# Process: outcomes for 20 trials..

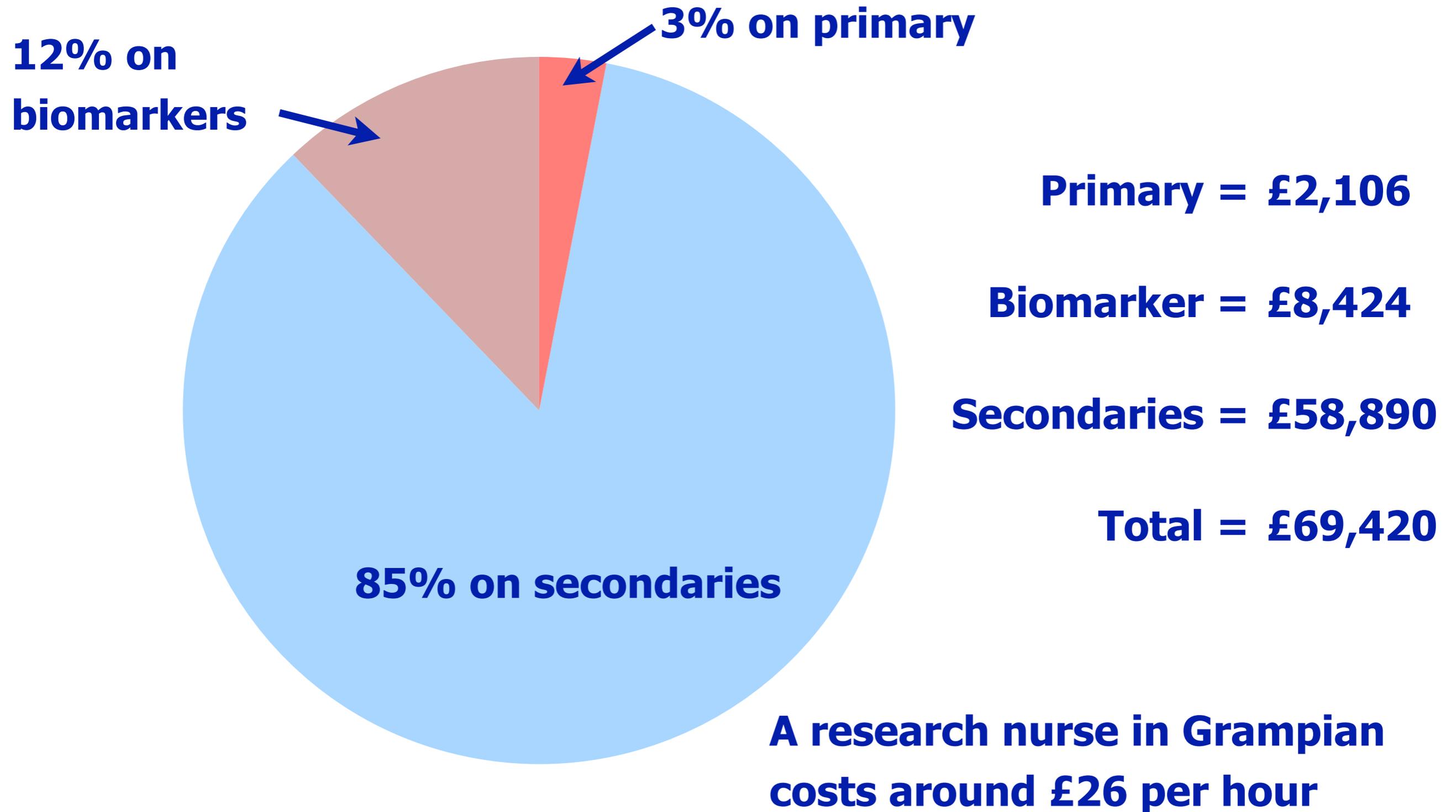


# ..and now with secondary outcomes



# Trial T2: data collection

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# The cost of extra outcome data

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American Journal of Therapeutics 22, 117–124 (2015)

## Quantifying the Magnitude and Cost of Collecting Extraneous Protocol Data

Kenneth A. Getz, MBA,<sup>1\*</sup> Stella Stergiopoulos, BA,<sup>1</sup> Michelle Marlborough, BS,<sup>2</sup>  
Jane Whitehill, BS,<sup>3</sup> Marla Curran, PhD,<sup>4</sup> and Kenneth I. Kaitin, PhD<sup>1</sup>

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Although most research professionals believe that protocol designs contain a growing number of unnecessary and redundant procedures generating unused data, incurring high cost, and jeopardizing study success, there are no published studies systematically examining this issue. Between November 2011 and May 2012, Tufts Center for the Study of Drug Development conducted a study among a working group of 15 pharmaceutical companies in which a total of 25,103 individual protocol procedures were evaluated and classified using clinical study reports and analysis plans. The results show that the typical later-stage protocol had an average of 7 objectives and 13 end points of which 53.8% are supplementary. One (24.7%) of every 4 procedures performed per phase-III protocol and 17.7% of all phase-II procedures per protocol were classified as “Noncore” in that they supported supplemental secondary, tertiary, and exploratory end points. For phase-III protocols, 23.6% of all procedures supported regulatory compliance requirements and 15.9% supported those for phase-II protocols. The study also found that on average, \$1.7 million (18.5% of the total) is spent in direct costs to administer Noncore procedures per phase-III protocol and \$0.3 million (13.1% of the total) in direct costs are spent on Noncore procedures for each phase-II protocol. Based on the results of this study, the total direct cost to perform Noncore procedures for all active annual phase-II and phase-III protocols is conservatively estimated at \$3.7 billion annually, not including the indirect costs associated with collecting and managing Noncore procedure data and the ethical costs of exposing study volunteers to unnecessary risks associated with conducting extraneous procedures.

*Keywords:* protocol design, protocol complexity, clinical data, excessive clinical data, extraneous clinical data

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**American Journal of  
Therapeutics, Vol. 22, 2015**

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**In pharma trials more than 20% of procedures are 'non-core'.  
Estimated annual cost: \$3.7 billion**

**American Journal of  
Therapeutics, Vol. 22, 2015**

# Design: PRECIS-2

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**Who am I designing my trial for and what have I done to make sure they don't have to dismiss my trial as irrelevant?**

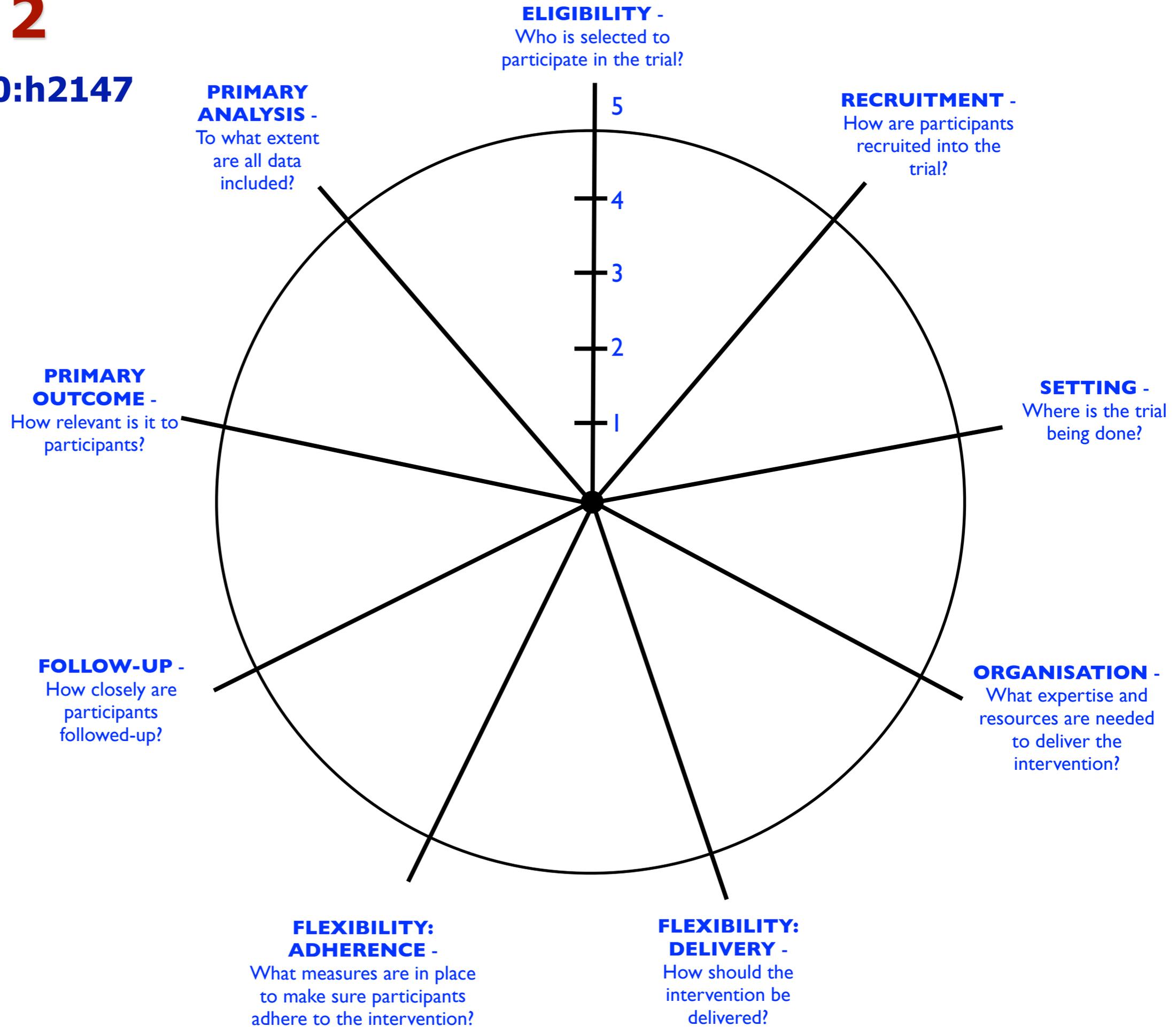


**Kirsty Loudon,  
Stirling**

**Who are your users and what do they want?**

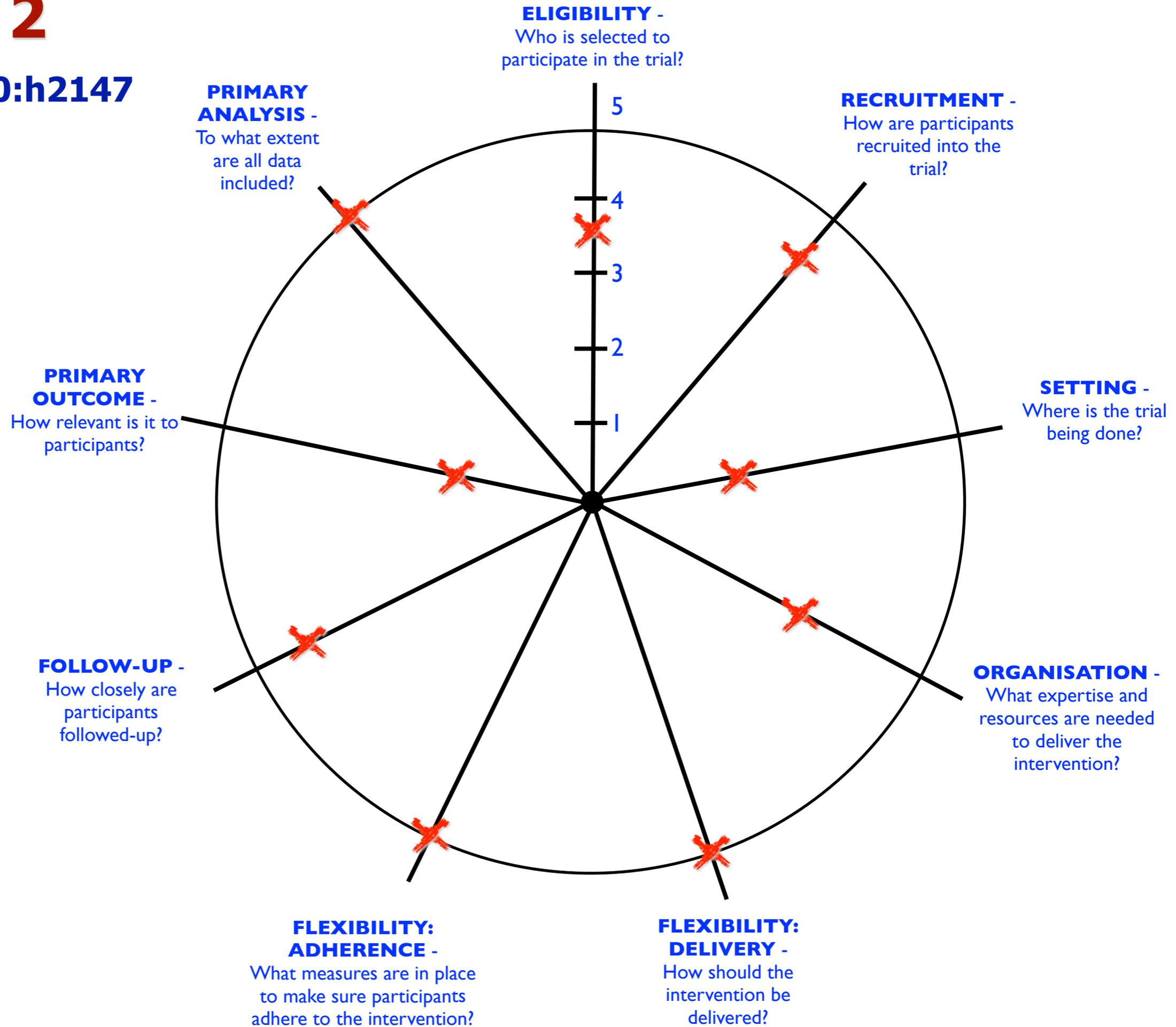
# PRECIS 2

BMJ 2015;350:h2147



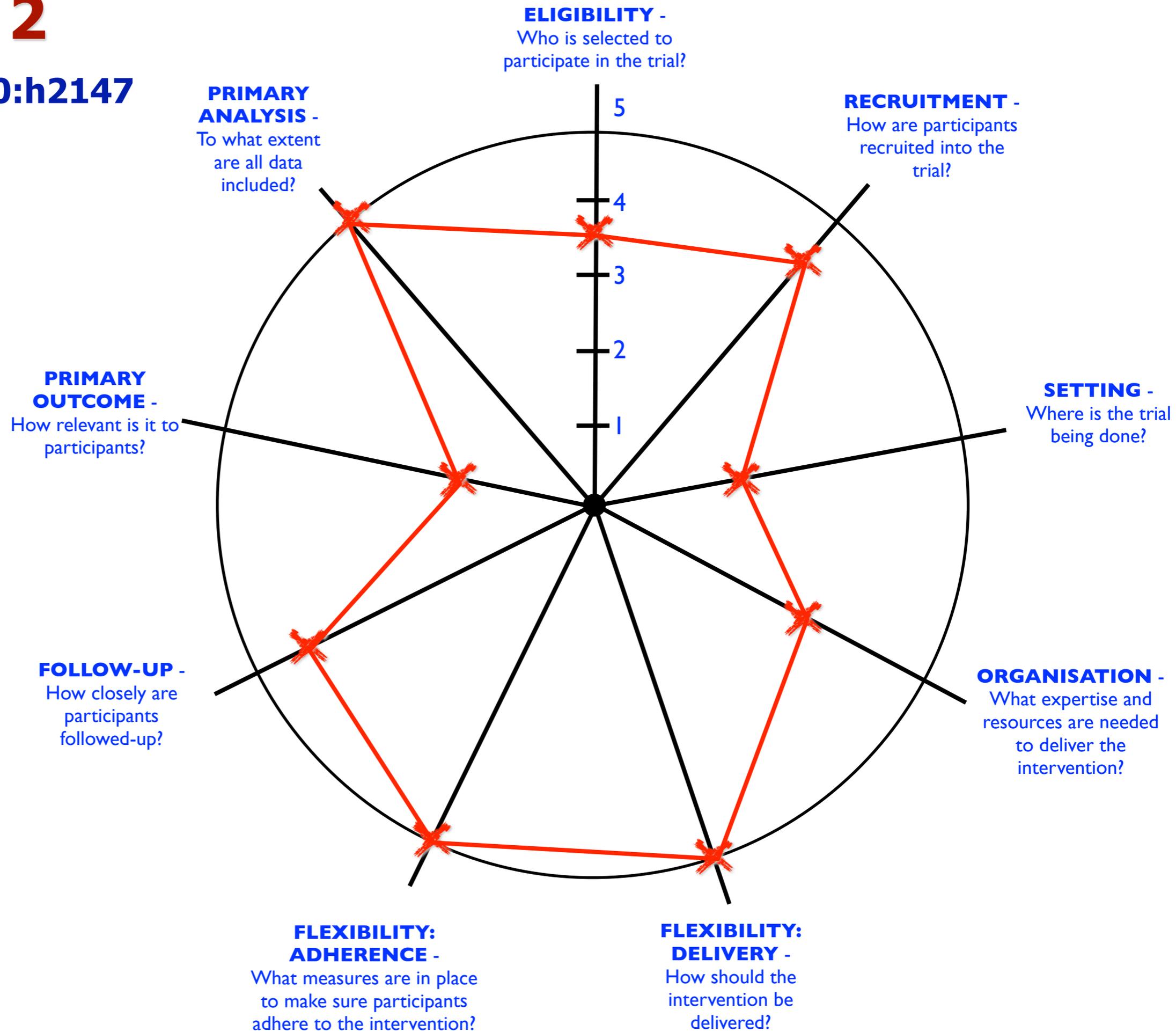
# PRECIS 2

BMJ 2015;350:h2147



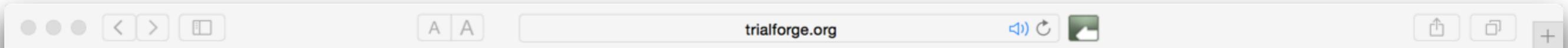
# PRECIS 2

BMJ 2015;350:h2147



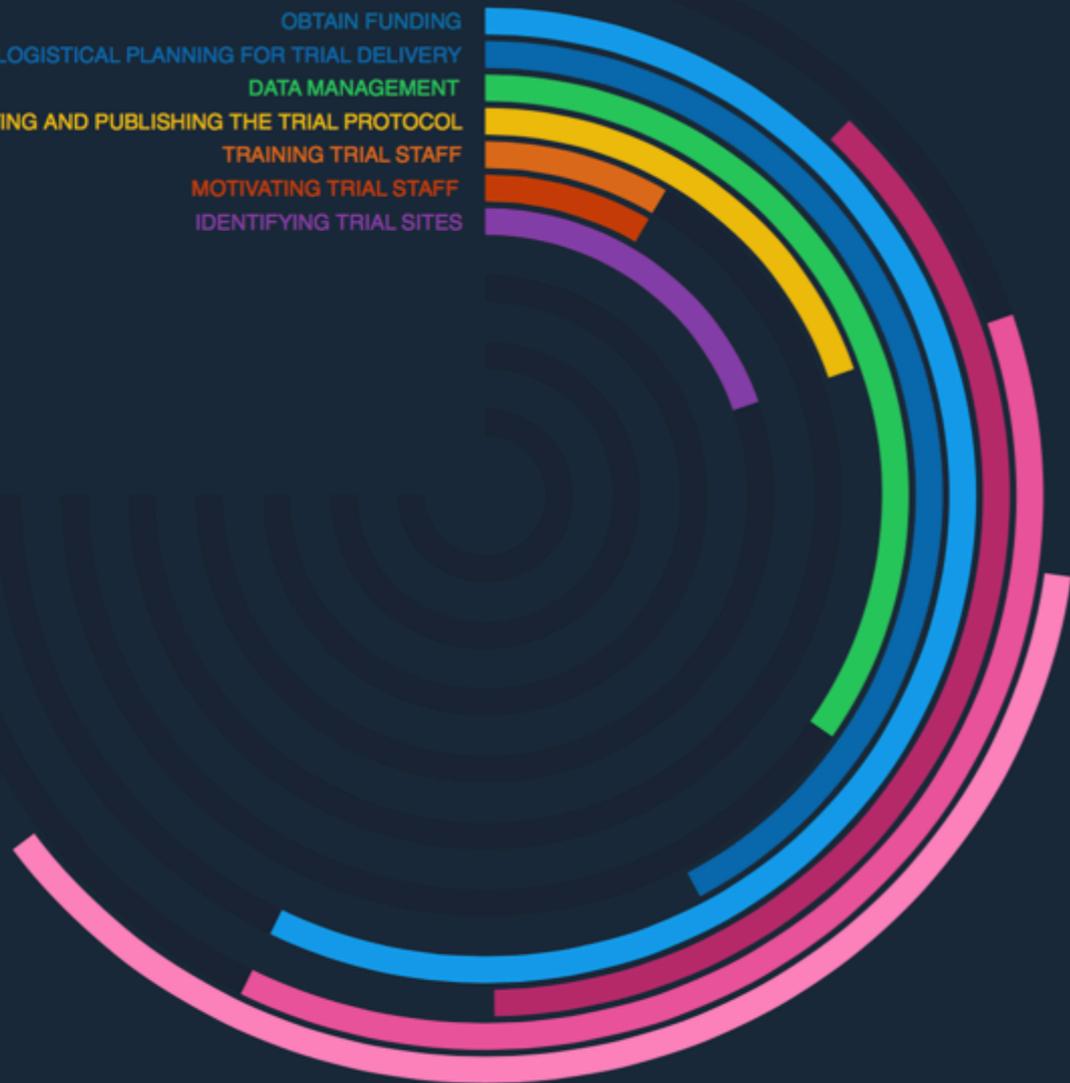


# TRIAL FORGE



- HOME
- ABOUT
- WHATS NEW
- COLLABORATORS
- GET INVOLVED
- PATHWAY**
- Q

OBTAIN FUNDING  
LOGISTICAL PLANNING FOR TRIAL DELIVERY  
DATA MANAGEMENT  
WRITING AND PUBLISHING THE TRIAL PROTOCOL  
TRAINING TRIAL STAFF  
MOTIVATING TRIAL STAFF  
IDENTIFYING TRIAL SITES



|   |  |                               |
|---|--|-------------------------------|
| <br>CHOOSING THE RESEARCH QUESTION            | <br>CHOOSING THE RIGHT DESIGN              | <br>FEASIBILITY AND PLOT WORK |
| <br>OBTAIN FUNDING                            | <br>LOGISTICAL PLANNING FOR TRIAL DELIVERY | <br>DATA MANAGEMENT           |
| <br>WRITING AND PUBLISHING THE TRIAL PROTOCOL | <br>TRAINING TRIAL STAFF                   | <br>MOTIVATING TRIAL STAFF    |
| <br>IDENTIFYING TRIAL SITES                   | <br>MANAGING AND MONITORING TRIAL SITES    | <br>RECRUITMENT               |
| <br>DATA COLLECTION                           | <br>RETENTION                              | <br>ANALYSIS                  |
| <br>DISSEMINATION OF FINDINGS                 | <br>CLOSE DOWN                             |                               |

# Trial Forge: the big recruitment reviews

**Barriers & facilitators**



**Catherine Houghton, Galway**

**Randomised evaluations**



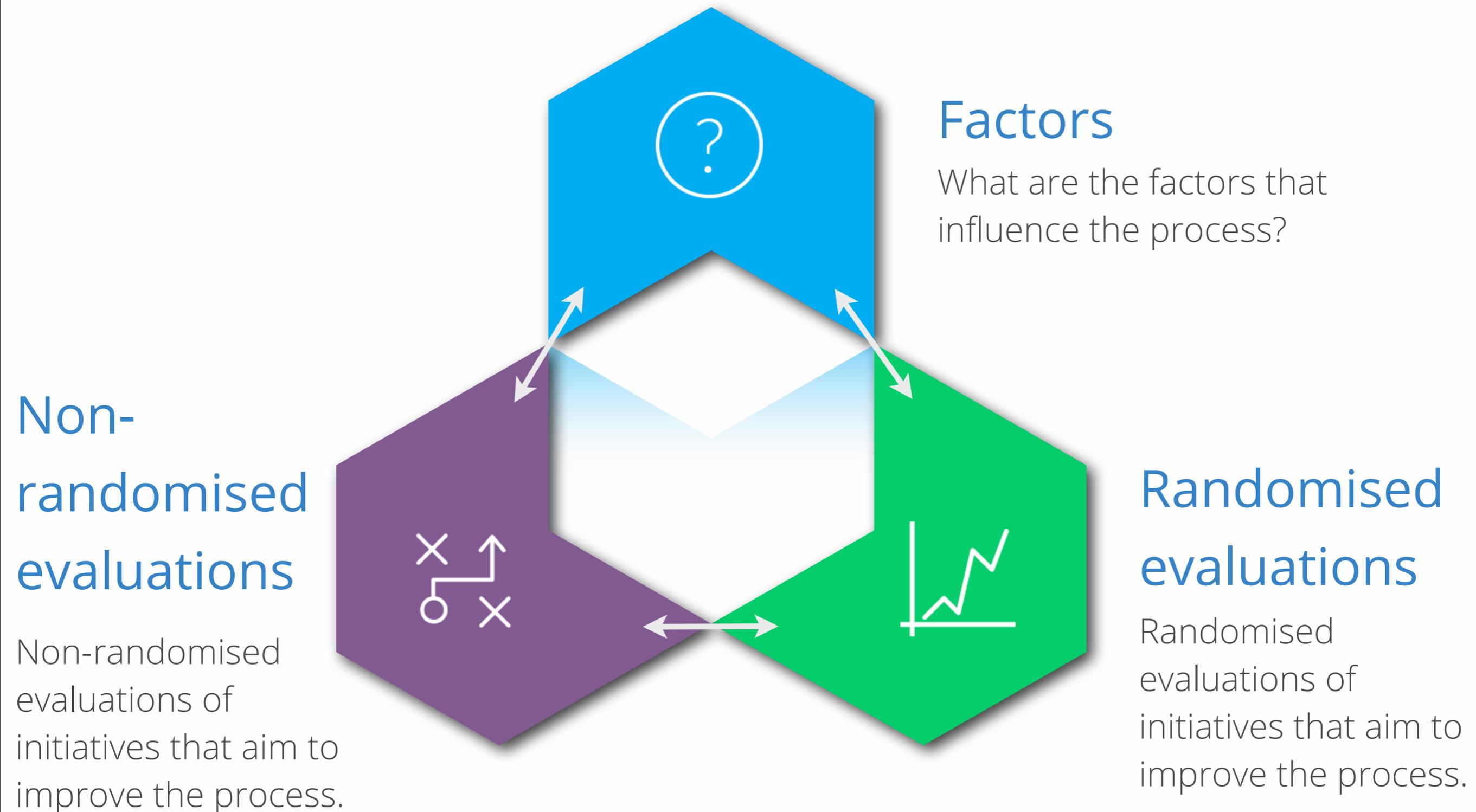
**Non-randomised evaluations**

**Heidi Gardner, Aberdeen**



# TRINITY packages: recruitment

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# Filling evidence gaps: SWAT 24

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## Using a theory-based cover letter to improve questionnaire response rates in trials



**Craig Ramsay, Anne Duncan, Aberdeen; Jan Clarkson, Debbie Bonetti, Dundee**

Dear <<Title>> <<Family Name>>

Please find enclosed the annual *[Trial Name]* questionnaire and a reply-paid envelope. It would be greatly appreciated if you could complete and return as soon as possible. If you have any questions, please get in touch with the *[Trial Contact Office]* on *[Trial Contact Phone Number]*.

*Why we have sent you this questionnaire*

Your *[e.g. dentist]* is participating in this UK-wide study looking at the very best way they can help their patients improve their *[e.g. oral health]*. This is an important study with the potential to impact on the treatment of all *[e.g. dental]* patients, and involves academic and research groups from *[Trial Centres e.g. Aberdeen, Dundee, Edinburgh, Newcastle, Manchester and London Universities]*.

This is a *[e.g. 3]* year study, as it will take this long to collect meaningful information about how *[e.g. oral health treatments]* can affect *[e.g. a person's quality of life, general health and teeth]*. Such long-term studies require a strong commitment from your *[e.g. dentist]* to stay in the study until the end. This means your *[e.g. dentist]* has placed considerable trust in the patients they asked to join them in this research. Your *[e.g. dentist]* will not be able to fulfil their part in this study without the continued co-operation and participation of their patients.

As one of these patients, you consented to complete this annual questionnaire at the start of the study, asking about *[e.g. a wide range of actions and beliefs]*. This is to provide a broad view of the

# SWAT 24: who wants to get involved?



Clinical Trials and Evaluation Unit Bristol



Centre for Healthcare Randomised Trials

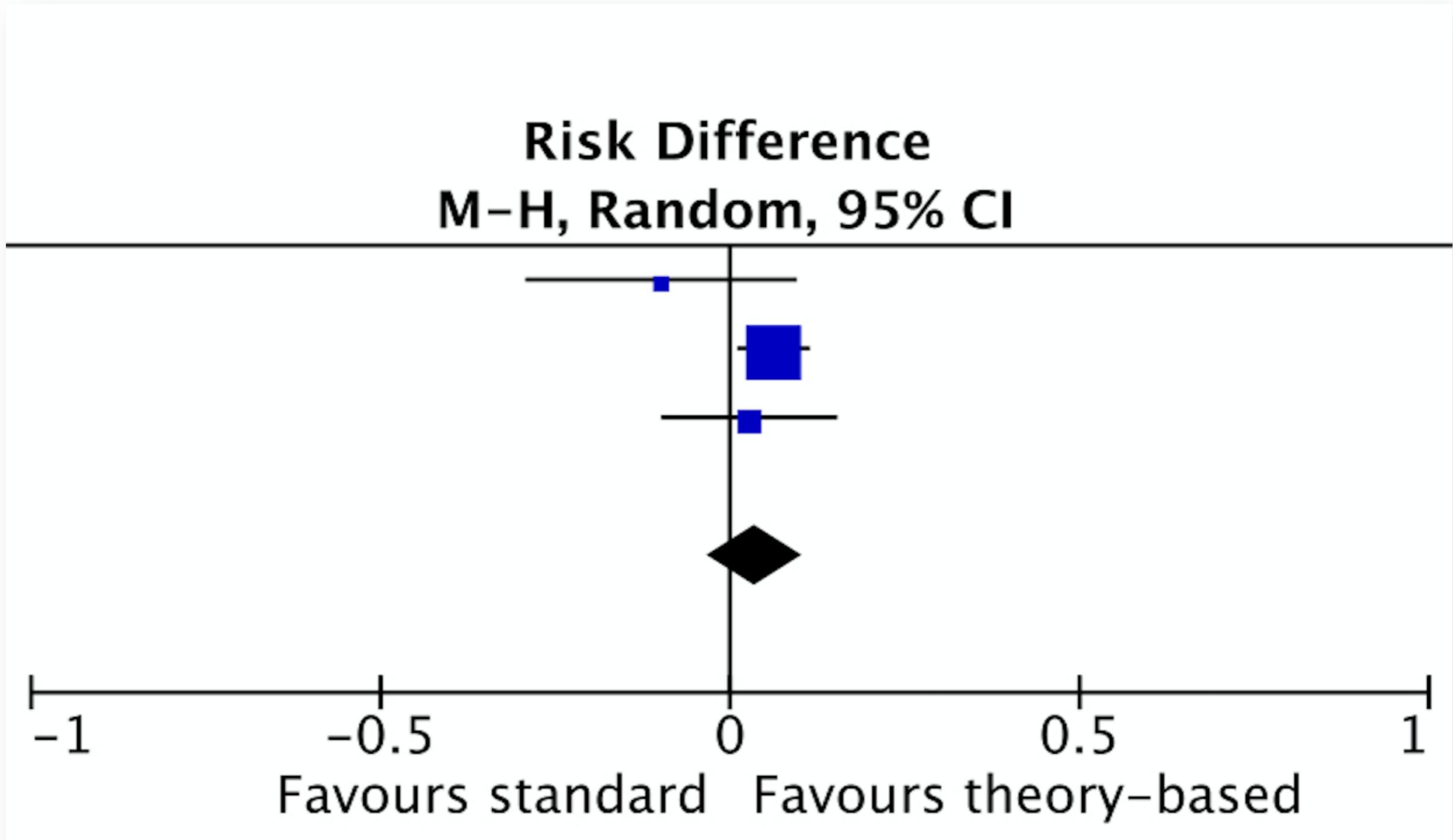


# **Four trials (at least) have now looked at SWAT24**

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- **Two dental trials (2014 and 2016)**
- **A trial in MS (2017)**
- **A trial in urinary incontinence (2017)**

# Four trials (at least) have now looked at SWAT24



All trials

Published trials

|  |                                   |
|--|-----------------------------------|
| Trial 1                                      | Trial 2                           |
| 10.7%  | -1.9%                             |
| <input type="checkbox"/> Publish?            | <input type="checkbox"/> Publish? |
| Trial 4                                      | Trial 5                           |
| -21.0%                                       | -3.8%                             |
| <input checked="" type="checkbox"/> Publish? | <input type="checkbox"/> Publish? |
| Trial 7                                      | Trial 8                           |
| 40.0%  | 22.7%                             |
| <input type="checkbox"/> Publish?            | <input type="checkbox"/> Publish? |

**REWARD**  
 Priorities | Design conduct analysis | Regulation & management | Accessibility | Complete & usable reporting | Action & recommendations | Statement



The *Lancet* REWARD (REduce research Waste And Reward Diligence) Campaign invites everyone involved in biomedical research to critically examine the way they work to reduce waste and maximise efficiency.  
[Read the REWARD statement and join the campaign](#)

Partners

Updates

**REWARD Alliance Update April 2016, by Professor Paul Glasziou**

The 2015 REWARD/EQUATOR conference in Edinburgh abstracts are downloadable at <http://rewardalliance.net/research-wasteequator-conference/>. The first video in a series from the conference is an 8-minute video providing a great overview of the main issues of research waste: <https://youtu.be/K0wyc5w6bQE>. Several other short videos from the conference on different themes are in preparation and will be available in the next few weeks. Please watch, enjoy and tweet.

[More...](#)

Related Content

**COMMENT**  
 Increasing value and reducing waste in biomedical research: librarians are listening and are part of the answer

Wessex Institute **Southampton** UNIVERSITY OF

# Summary

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- **Much of the business of doing trials is not very efficient.**
- **Trial Forge aims to improve this through more coordination and collaboration.**
- **Join up – go to 'Get involved' on Trial Forge website**

**Thank you!**



**TRIAL FORGE**

**Twitter: @Trial\_Forge**

**<http://trialforge.org>**

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